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FORM PTO-1390
REV. 5-93

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEYS DOCKET NUMBER

P05,0098

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

10/528,988

INTERNATIONAL APPLICATION NO.
PCT/EP2003/007438

INTERNATIONAL FILING DATE
09 July 2003

PRIORITY DATE CLAIMED
30 September 2002

TITLE OF INVENTION

**"METHOD AND DEVICE FOR THE AUTOMATIC GENERATION OF PROGRAM CODE DOCUMENTATION TEXT AND MANAGEMENT
INFORMATION BASED USING THE DATA OF A DATABASE"**

APPLICANT(S) FOR DO/EO/US

Christoph NEMMAIER, Helmut SIPPEL and Hubert DREXLER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). **EXECUTED DECLARATION**
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ A Supplemental Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (**PTO 1449, Prior Art, Search Report**).
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
 - a. ☒ **English Translation of the International Preliminary Examination Report**
 - b. ☒ **EXPRESS MAIL #EV 635785760 US Mailed July 18, 2005.**
 - c. ☒

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P05,0098

17. ☐ The following fees are submitted:

BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):

Search Report has been prepared by the EPO or JPO \$1000.00
(Includes National Stage, Search, and Examination Fees)

CALCULATIONS

PTO USE ONLY

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$130.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30 months from
the earliest claimed priority date (37 C.F.R. 1.492(e)).

\$130.00

Claims	Number Filed	Number Extra	Rate		
Total Claims		0	X \$50.00	\$	
Independent Claims		0	X \$200.00	\$	
Multiple Dependent Claims			\$300.00 +		

TOTAL OF ABOVE CALCULATIONS =

\$130.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also
be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)

SUBTOTAL =

\$130.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from
the earliest claimed priority date (37 CFR 1.492(f)).

TOTAL NATIONAL FEE =

\$130.00

Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be
accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property +

TOTAL FEES ENCLOSED =

\$130.00

Amount to be
refunded

charged

- a. ☒ A check in the amount of \$130.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A
duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 501519. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must
be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Schiff Hardin LLP
Patent Department
6600 Sears Tower
Chicago, Illinois 60606-6473
Customer Number 26574

SIGNATURE

Brett A. Valiquet

NAME

27,841

Registration Number

Rec'd PCT/PTO 18 JUL 2005

1 528988

CERTIFICATION OF MAILING

"Express Mail" Mailing Label Number **EV 635785760 US**

Date of Deposit: July 18, 2005

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to: Commissioner for Patents, **MAIL STOP PCT**, P.O. Box 1450, Alexandria VA 22313-1450.

Christoph NEMMAIER et al

PCT Application entitled: **"METHOD AND DEVICE FOR THE AUTOMATIC GENERATION OF PROGRAM CODE DOCUMENTATION TEXT AND MANAGEMENT INFORMATION BASED USING THE DATA OF A DATABASE"**

Our Case No. P05,0098 (26970-0365)

Form 1390 in Duplicate

Executed Declaration

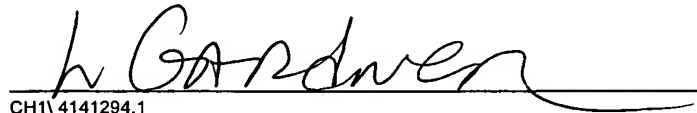
International Preliminary Examination Report

Executed Assignment – Form 1595 - \$40.00 – Postcard (Separate Envelope)

\$130.00

Postcard

Signature of person mailing application and fee


CH11 4141294.1